



UNITED STATI JEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SEPTEMBER 10, 2001

BRAD J. HATTENBACH CHERYL ARNOLD FERNE REPUBLIC PLAZA BUILDING 370 SEVENTEENTH STREET DENVER, CO 80202



101768994A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/27/2001

REEL/FRAME: 011939/0666

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NEUHAUS, HERBERT J.

DOC DATE: 06/12/2001

ASSIGNEE:

NANOPIERCE TECHNOLOGIES, INC. 370 SEVENTEENTH STREET DENVER, COLORADO 80202

SERIAL NUMBER: 09812140 PATENT NUMBER:

FILING DATE: 03/19/2001

ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Docket No.: 5740.02 07-06-2001 U.S. DEPARTMENT OF COMMERCE FORM PTJ-1595 (Modified) ET (Rev. 6-93) Patent and Trademark Office OMB No. 0651-0011 (exp.4/94) Copyright 1994-97 LegalStar 208/REV02 101768994 Tab settings → → To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Herbert J. Neuhaus Name: Nanopierce Technologies, Inc. Internal Address: Suite 3580 Additional names(s) of conveying party(ies) JUN 27 200 3. Nature of conveyance: Street Address: 370 Seventeenth Street Assignment Merger ☐ Security Agreement ☐ Change of Name State: CO ZIP: 80202 City: Denver □ Other Execution Date: June 12, 2001 Additional name(s) & address(es) attached? X Yes No 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 09/812,140 filed March 19, 2001 Additional numbers attached? ☐ Yes **⋈** No 5. Nam and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Nam: Brad J. Hattenbach 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: Suite 4700 Enclosed - Any excess or insufficiency should be credited or debited to deposit account Republic Plaza Building Authorized to be charged to deposit account Street Address: 370 Seventeenth Street 8. Deposit account number: 04-1415 City: Denver State: **CO** ZIP: 80202 07/05/2001 LMUELLER 00000122 09812140 DO NOT USE THIS SPACE 40.00 OP 01 FC:581 9. Stat m nt and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Cheryl Arnold Ferne Date Name of Person Signing Signature

T tal number f pages including bover sh t, attachments, and docum nt:

ASSIGNMENT

WHEREAS, HERBERT J. NEUHAUS, residing at 770 Maroonglen Court, Colorado Springs, Colorado (the "Assignor"), has invented certain new and useful systems, devices, and methods disclosed and described in an application for Letters Patent in the United States ("U.S.") entitled "ELECTRICAL COMPONENT ASSEMBLY AND METHOD OF FABRICATION," which can be identified in the United States Patent and Trademark Office ("USPTO") under Serial Number 09/812,140, filed on 19 March 2001, (the "Patent Application"), and which claims priority to a provisional application in the U.S. entitled "ADVANCES IN MATERIALS FOR LOW COST FLIP-CHIP," Serial No. 60/220,027, filed on 21 July 2000 (the "Provisional Application") and to a nonprovisional application in the U.S. entitled "ELECTRICAL COMPONENT ASSEMBLY AND METHOD OF FABRICATION," Serial No. 09/684,238, filed on 5 October 2000 (the "Prior Application"); and

WHEREAS, NANOPIERCE TECHNOLOGIES, INC., a corporation organized and existing under the laws of the State of Nevada, and having its principal place of business at 370 Seventeenth Street, Suite 3580, Denver, Colorado 80202 (the "Assignee"), desires to acquire the entire right, title, and interest of Assignor in and to the invention, the Patent Application, the Provisional Application, the Prior Application and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor does sell, assign, and transfer to the Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the invention, the Patent Application, the Provisional Application, the Prior Application, and all divisional, continuation, continuation-in-part, continuing prosecution, and reissue applications; the Letters Patent, both foreign and domestic, that may or shall issue, or may or shall have issued, therefrom; any extensions or renewals thereof; and any right, title, or interest in and to the invention under any international conventions (collectively "the Patent Rights"); and the Assignor hereby authorizes and requests transfer and delivery of the Patent Application, the Provisional Application, the Prior Application, and any further applications, which may be or shall have been filed under the Patent Rights, to the Assignee in accordance herewith.

Assignor further authorizes said Assignee, its successors, and assigns, or anyone it may properly designate, to apply for Letters Patent in the U.S. and any and all foreign countries, in its own name if desired, and additionally to claim priority to the filing dates of the Patent Application, the Provisional Application, and the Prior Application, and otherwise take advantage of the provisions of any international conventions.

Assignor hereby authorizes Assignee, its successors and assigns, or anyone it may properly designate, to insert in this instrument the application number and filing date of the Patent Application once known.

Assignor further covenants and agrees that this Assignment is effective as of 21 July 2000.

Assignor represents and warrants that Assignor has the right, title, and authority to execute this Assignment and to convey all right, title, and interest in the Patent Rights, and that Assignor has not conveyed nor will convey hereafter all or part of the Patent Rights to a third party; and Assignor hereby covenants and agrees with the Assignee, its successors and assigns, that Assignor will not execute in writing or do any act whatsoever conflicting with these representations, and that Assignor, Assignor's successors, legal representatives, or administrators will at any time upon request, without further or additional consideration, but at the expense of the Assignee, its successors, and assigns, execute such additional writings and do such additional acts as said Assignee, its successors, and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for, obtaining, and enforcing any of the Patent Rights, including giving testimony in any proceedings or transactions involving such Patent Rights.

IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.

STATE OF)			
CITY OF) ss.			
COUNTY OF)			
COUNTIO	,			
		Ву: Д	Lealy of 11/2	ul-
		2). <u>—</u>	lerbert J. Neuhaus	
		Dated:	12 June	2001
		_		(
Before me, a NEUHA considerations therein	AUS, who executed th	or said County te foregoing in	and State, personally a estrument for the purpo	appeared ses and
Given under n	ny hand and seal of of	fice this 12	day of June	, 2001.
Gulene	O Boure	n	My Commission Expires	Aug. 6, 2003
Notary Public	The same	My (Commission Expires: _	
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SEPTEMBER 10,

BRAD J. HATTENBACH REPUBLIC PLAZA BUILDING 3700 SEVENTEENTH STREET SUITE 4700

DENVER, CO 80202



UNITED STAT DEPARTMENT OF COMMERCE Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/27/2001

REEL/FRAME: 011940/0696

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KENNEY, MICHAEL J.

DOC DATE: 06/20/2001

ASSIGNEE:

NANOPIERCE TECHNOLOGIES, INC. 370 SEVENTEENTH STREET SUITE 3580 DENVER, COLORADO 80202

SERIAL NUMBER: 09812140

PATENT NUMBER:

FILING DATE: 03/19/2001

ISSUE DATE:

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

FORM PTO-1595 (Modified) (Rev. 6-93) OMB No. 0651-0011 (exp.4/94)



07-06-2001



Dockat No.: 5740.02

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings → → → ▼ SEM & 1 (M) 1994-97 Legalistar 101768	·		
The Solution Con Elizabeth	Please record th attached original documents or copy thereof.		
Name of conveying party(ies):	2. Name and address of receiving party(ies):		
Michael J. Kenney (27-0)	Name: Nanopierce Technologies, Inc.		
	Internal Address: Suite 3580		
Additional names(s) of conveying party(ies)	, :		
	1000 0 7		
3. Nature of conveyance:			
☑ Assignment ☐ Merger	Street Address: 370 Seventeenth Street		
☐ Security Agreement ☐ Change of Name			
☐ Other	City: Denver State: CO ZIP: 80202		
Execution Date: June 20, 2001	Additional name(s) & address(es) attached? 🗵 Yes 🗌 No		
4. Application number(s) or registration numbers(s):			
If this document is being filed together with a new application,	, the execution date of the application is:		
	B. Patent No.(s)		
A. Patent Application No.(s)	D. 1 dlent 140.(5)		
00/010 110			
09/812,140 filed March 19,			
2001			
Additional numbers attac	│ ched?		
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:		
Name: Brad J. Hattenbach			
	7. Total fee (37 CFR 3.41):\$ 40.00		
Internal Address: Suite 4700	Enclosed - Any excess or insufficiency should be credited or debited to deposit account		
Republic Plaza Building			
	Authorized to be charged to deposit account		
Street Address: 370 Seventeenth Street	8. Deposit account number:		
	04-1415		
City: Denver State: CO ZIP: 80202			
07/05/2001 LMUELLER 00000123 09812140 DO NOT	USE THIS SPACE		
01 FC:581 40.00 09 9. Statement and signature.			
To the best of my knowledge and belief, the foregoing inform	nation is true and correct and any attached copy is a true copy		
of the original document. Cheryl Arnold Ferne	Shadlo Joing Ilonlas		
Name of Person Signing	Signature Date		

Signature

Total number of pages including over sheet, attachments, and document:

ASSIGNMENT

WHEREAS, MICHAEL J. KENNEY, residing at the address indicated below (the "Assignor"), has invented certain new and useful systems, devices, and methods disclosed and described in an application for Letters Patent in the United States ("U.S.") entitled "ELECTRICAL COMPONENT ASSEMBLY AND METHOD OF FABRICATION," which can be identified in the United States Patent and Trademark Office ("USPTO") under Serial Number 09/812,140, filed on 19 March 2001, (the "Patent Application"), and to a nonprovisional application in the U.S. entitled "ELECTRICAL COMPONENT ASSEMBLY AND METHOD OF FABRICATION," Serial No. 09/684,238, filed on 5 October 2000 (the "Prior Application"); and

WHEREAS, NANOPIERCE TECHNOLOGIES, INC., a corporation organized and existing under the laws of the State of Nevada, and having its principal place of business at 370 Seventeenth Street, Suite 3580, Denver, Colorado 80202 (the "Assignee"), desires to acquire the entire right, title, and interest of Assignor in and to the invention, the Patent Application, the Prior Application, and in, to and under any and all Letters Patent to be obtained therefore;

NOW, THEREFORE, for the sum of \$1,000.00 and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor does sell, assign, and transfer to the Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the invention, the Patent Application, the Prior Application, and all divisional, continuation, continuation-in-part, continuing prosecution, and reissue applications; the Letters Patent, both foreign and domestic, that may or shall issue, or may or shall have issued, therefrom; any extensions or renewals thereof; and any right, title, or interest in and to the invention under any international conventions (collectively "the Patent Rights"); and the Assignor hereby authorizes and requests transfer and delivery of the Patent Application, the Prior Application and any further applications, which may be or shall have been filed under the Patent Rights, to the Assignee in accordance herewith.

Assignor further authorizes said Assignee, its successors, and assigns, or anyone it may properly designate, to apply for Letters Patent in the U.S. and any and all foreign countries, in its own name if desired, and additionally to claim priority to the filing date of the Patent Application and the Prior Application and otherwise take advantage of the provisions of any international conventions.

Assignor hereby authorizes Assignee, its successors and assigns, or anyone it may properly designate, to insert in this instrument the application number and filing date of the Patent Application once known.

Assignor further covenants and agrees that this Assignment is effective as of 21 July 2000.

Assignor represents and warrants that Assignor has the right, title, and authority to execute this Assignment and to convey all right, title, and interest in the Patent Rights, and that Assignor has not conveyed nor will convey hereafter all or part of the Patent Rights to a third party; and Assignor hereby covenants and agrees with the Assignee, its successors and assigns, that Assignor will not execute in writing or do any act whatsoever conflicting with these representations, and that Assignor, Assignor's successors, legal representatives, or administrators will at any time upon request, without further or additional consideration, but at the expense of the Assignee, its successors, and assigns, execute such additional writings and do such additional acts as said Assignee, its successors, and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for, obtaining, and enforcing any of the Patent Rights, including giving testimony in any proceedings or transactions involving such Patent Rights.

IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.

1

CITY OF Anoka) ss.		. 1
		M. Rad Wolf
	By:	Michael J. Kenney
	Address:	149 EAST RWER RO4/00 ANDKA MAJ 55303
	Dated:	06 20 200/

Before me, a Notary Public in and for said County and State, personally appeared MICHAEL J. KENNEY, who executed the foregoing instrument for the purposes and considerations therein expressed.



STATE OF MN





PTAS



BRAD J. HATTENBACH SUITE 4700 REPUBLIC PLAZA BUILDING 370 SEVENTEENTH STREET DENVER, CO 80202

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 06/27/2001

REEL/FRAME: 011954/0271

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WERNLE, MICHAEL E.

DOC DATE: 06/06/2001

ASSIGNEE:

NANOPIERCE TECHNOLOGIES, INC. 370 SEVENTEENTH STREET SUITE 3580 DENVER, COLORADO 80202

SERIAL NUMBER: 09812140

PATENT NUMBER:

FILING DATE: 03/19/2001

ISSUE DATE:

DOROTHY BASMA, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Docket No.: 5740.02 09-13-2001 U.S. DEPARTMENT OF COMMERCE FCRM PTO-1595 (Modified) (Rev. 6-93) Patent and Trademark Offic OMB No. 0651-0011 (exp.4/94) Copyright 1994-97 LegalStar PONREVO2 101843418 Tab settings → → 1 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Michael E. Wernle Name: Nanopierce Technologies, Inc. Internal Address: Suite 3580 Additional names(s) of conveying party(ies) 3. Nature of conveyance: Assignment ☐ Merger Street Address: 370 Seventeenth Street ☐ Security Agreement ☐ Change of Name City: Denver ___ State: CO ZIP: 80202 ☐ Other ____ Execution Date: June 6, 2001 Additional name(s) & address(es) attached? ☐ No Yes 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 09/812,140 filed March 19, 2001 Additional numbers attached? ☐ Yes ☑ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Brad J. Hattenbach 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: Suite 4700 ☑ Enclosed - Any excess or insufficiency should be credited or debited to deposit account Republic Plaza Building Authorized to be charged to deposit account Street Address: 370 Seventeenth Street 8. Deposit account number: 04-1415 City: Denver State: CO ZIP: 80202 07/05/2001 LMUELLER 00000124 09812140 DO NOT USE THIS SPACE 01-FC:581--40.00 **4**9 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Cheryl Arnold Ferne

Signature

Total number of pages including cover sheet, attachments, and document:

Name of Person Signing

Date

Quinner and a second

ASSIGNMENT

WHEREAS, MICHAEL E. WERNLE, residing at Ottobrunner Straffe 76, D/85635, Hohenkirchen, Germany (the "Assignor"), has invented certain new and useful systems, devices, and methods disclosed and described in an application for Letters Patent in the United States ("U.S.") entitled "ELECTRICAL COMPONENT ASSEMBLY AND METHOD OF FABRICATION," which can be identified in the United States Patent and Trademark Office ("USPTO") under Serial Number 09/812,140, filed on 19 March 2001, (the "Patent Application"), and which claims priority to two provisional applications in the U.S. entitled "ADVANCES IN MATERIALS FOR LOW COST FLIP-CHIP," Serial No. 60/220,027, filed on 21 July 2000, and "MANUFACTURING OF LOW COST SMART LABELS," Serial No. 60/233,561, filed 19 September 2000 (the "Provisional Applications"); and

WHEREAS, NANOPIERCE TECHNOLOGIES, INC., a corporation organized and existing under the laws of the State of Nevada, and having its principal place of business at 370 Seventeenth Street, Suite 3580, Denver, Colorado 80202 (the "Assignee"), desires to acquire the entire right, title, and interest of Assignor in and to the invention, the Patent Application, the Provisional Applications, and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor does sell, assign, and transfer to the Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the invention, the Patent Application, the Provisional Applications, and all divisional, continuation, continuation-in-part, continuing prosecution, and reissue applications; the Letters Patent, both foreign and domestic, that may or shall issue, or may or shall have issued, therefrom; any extensions or renewals thereof; and any right, title, or interest in and to the invention under any international conventions (collectively "the Patent Rights"); and the Assignor hereby authorizes and requests transfer and delivery of the Patent Application, the Provisional Applications, and any further applications, which may be or shall have been filed under the Patent Rights, to the Assignee in accordance herewith.

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Assignor hereby authorizes Assignee, its successors and assigns, or anyone it may properly designate, to insert in this instrument the application number and filing date of the Patent Application once known.

Assignor further covenants and agrees that this Assignment is effective as of 21 July 2000.

Assignor represents and warrants that Assignor has the right, title, and authority to execute this Assignment and to convey all right, title, and interest in the Patent Rights, and that Assignor has not conveyed nor will convey hereafter all or part of the Patent Rights to a third party; and Assignor hereby covenants and agrees with the Assignee, its successors and assigns, that Assignor will not execute in writing or do any act whatsoever conflicting with these representations, and that Assignor, Assignor's successors, legal representatives, or administrators will at any time upon request, without further or additional consideration, but at the expense of the Assignee, its successors, and assigns, execute such additional writings and do such additional acts as said Assignee, its successors, and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for, obtaining, and enforcing any of the Patent Rights, including giving testimony in any proceedings or transactions involving such Patent Rights.

IN WITNESS WHEREOF, the Assignor hereunto has executed this Assignment upon the date indicated below.

By:

Michael E. Wernle

Dated:

File No. 5161 2001 K

I hereby certify that the above is the true signature, subscribed in my presence of Mr. Michael Erich Wernle, born on 03.05.1962 at Wien/Austria, residing in D Ottobrunner Str. 76, 85635 Höhenkirchen-Siegertsbrunn, identified by passport No. G 0166676

Munich, this 6th day of Juni, 2001

Reep, Notary